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Morgan Stanley
Co Llc

Morgan Stanley Co Llc

Consolidated Statement Of

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**Morgan Stanley Co
Llc Consolidated**
MORGAN STANLEY &
CO. LLC NOTES TO
CONSOLIDATED
STATEMENT OF
FINANCIAL CONDITION
As of December 31,
Page 4/28

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2019 (In millions of dollars, except where noted) - 3-1.

Introduction and Basis of Presentation The Company MS&Co., together with its subsidiary (the "Company"), provides a wide variety of products and services to a large and

**MORGAN STANLEY &
CO. LLC
CONSOLIDATED
STATEMENT OF ...**

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Co Llc

We have audited the accompanying consolidated statement of financial condition of Morgan Stanley & Co. LLC and subsidiaries (the "Company") as of December 31, 2018, and the related notes (collectively referred to as the "financial statement"). In our opinion, the financial statement presents fairly, in all material respects, the financial position of the

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Co. Llc
Company as of
December 31, 2018, in
conformity with
Statement of
accounting principles
generally accepted in
the United States of
America.

**MORGAN STANLEY &
CO LLC**

MORGAN STANLEY &
CO. LLC NOTES TO
CONSOLIDATED
STATEMENT OF
FINANCIAL CONDITION
As of June 30, 2018 (In
millions of dollars,

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Morgan Stanley
Co. Llc

except where noted)
(Unaudited) - 2 - 1.
Introduction and Basis
of Presentation The
Company MS&Co.,
together with its
subsidiaries (the
“Company”), provides
a wide variety of
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to a large and

**MORGAN STANLEY &
CO. LLC**

MORGAN STANLEY &
CO. LLC NOTES TO
CONSOLIDATED

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Co. LLC
Consolidated
Statement of

STATEMENT OF
FINANCIAL CONDITION
As of June 30, 2019 (In
millions of dollars,
except where noted)
(Unaudited) - 2 - 1.

**MORGAN STANLEY &
CO. LLC**

To the Board of
Directors of Morgan
Stanley & Co. LLC We
have audited the
accompanying
consolidated statement
of financial condition of
Morgan Stanley & Co.

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Co. LLC and subsidiaries
(the "Company") as of
December 31, 2012,
and the related notes
(the "financial
statement").

Management's
Responsibility for the
Consolidated Financial
Statement

**MORGAN STANLEY &
CO. LLC**

CONSOLIDATED

STATEMENT OF ...

MORGAN STANLEY &

CO. LLC SEC# 8209

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8-15869 Main Office

Location Mailing

Address Business

Telephone Number

Doing business as

MORGAN STANLEY &

CO. LLC 212-761-4000

Regulated by FINRA

New York Office 1585

BROADWAY NEW

YORK, NY 10036-8293

100 SOUTH CHARLES

STREET, 4TH FLOOR

C/O NORTH AMERICAN

REGISTRATION

BALTIMORE, MD 21201

Other Names of this

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Consolidated
**MORGAN STANLEY &
CO. LLC**

MORGAN STANLEY &
CO. LLC NOTES TO
CONSOLIDATED
STATEMENT OF
FINANCIAL CONDITION
As of December 31,
2017 (In millions of
dollars, except where
noted) - 3-1.

Introduction and Basis
of Presentation The
Company MS&Co.,
together with its

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Co. LLC
Consolidated
Statement Of
subsidiaries (the
“Company”), provides
a wide variety of
products and services
to a large and

**MORGAN STANLEY &
CO**

MORGAN STANLEY &
CO. LLC

CONSOLIDATED
STATEMENT OF
FINANCIAL CONDITION
As of June 30, 2017 (In
millions of dollars)
(Unaudited) See Notes
to Consolidated

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Co. Llc

Statement of Financial
Condition. - 1 - ASSETS

Cash \$ 3,871 Cash
deposited with clearing
organizations or
segregated under
federal and other
regulations or
requirements 10,635

**MORGAN STANLEY &
CO. LLC
CONSOLIDATED
STATEMENT OF ...**

Global Markets Inc.,
Merrill Lynch, Pierce,
Fenner & Smith,

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Co., Inc.
Incorporated and UBS
Securities LLC (the
“Underwriter
Defendants”)
Statement Of
(collectively,
“Defendants”). 1 This
Notice is intended to
inform you how this
lawsuit and proposed
Settlement may affect
your

**UNITED STATES
DISTRICT COURT
SOUTHERN DISTRICT
OF NEW YORK ...**

On February 14, 2020,
Page 15/28

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the Company paid a dividend of \$1,000 to the Parent.

Consolidation The consolidated statement of financial condition includes the accounts of MSSB and its wholly owned licensed insurance subsidiaries in which MSSB has a controlling financial interest. At December 31, 2019, the Company's consolidated

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**MORGAN STANLEY
SMITH BARNEY LLC
CONSOLIDATED
STATEMENT OF ...**

morgan stanley & co.
llc consolidated
statement of financial
condition and
unconsolidated
supplemental
schedules as of
december 31, 2014
and report of
independent registered
public accounting firm
and supplemental
report on internal

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control ***** filed
pursuant to regulation
1.10(g) under the
commodity exchange
act as a public
document.

**MORGAN STANLEY &
CO. LLC
CONSOLIDATED
STATEMENT OF ...**

Morgan Stanley & Co.
LLC We have audited
the accompanying
consolidated statement
of financial condition of
Morgan Stanley & Co.

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Co. LLC and subsidiaries
(the "Company") as of
December 31, 2016,
that you are filing
pursuant to Rule 17a-5
under the Securities
Exchange Act of 1934.
This consolidated
financial statement is
the

**MORGAN STANLEY &
CO. LLC (SEC I.D. No.
8-15869 ...**

Morgan Stanley helps
people, institutions and
governments raise,

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manage and distribute
the capital they need
to achieve their goals.

Morgan Stanley at

Work. We provide

comprehensive

workplace financial

solutions for

organizations and their

employees, combining

personalized advice

with modern

technology.

Morgan Stanley |

Global Leader in

Financial Services

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1099 Consolidated Tax Statements will become available on Morgan Stanley Online in three separate waves. 2/8/2017; 2/22/2017; 3/7/2017; If you elect to receive paper documents they will be mailed according to the same schedule.

Morgan Stanley Online Tax Center

The Company is also registered as an

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introducing broker with
the Commodities
Futures Trading
Commission (“CFTC”)
and clears futures
transactions through
an affiliate, Morgan
Stanley & Co. LLC
(“MS&Co.”) The
Company is a wholly
owned subsidiary of
Morgan Stanley Smith
Barney Holdings LLC
(the “Parent”).

**MORGAN STANLEY
SMITH BARNEY LLC**

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...Consolidated

Documents are not sent by email, but are accessed via our secure website Morgan Stanley Online and the Morgan Stanley Mobile App. Convenient. Access account documents as soon as they are available, instead of waiting for them to come in the mail. Green. Eliminate clutter and save paper.

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documents via
eDelivery is: -
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About the Legal Entity
MORGAN STANLEY &
CO. LLC This Legal
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TSO7KV3UQJZQ078
was issued on
Wednesday Jun 6, 2012
at 3:51PM for MORGAN
STANLEY & CO. LLC
headquartered in
WILMINGTON (US) by
Business Entity Data
B.V..

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**MORGAN STANLEY &
CO. LLC - Legal
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(LEI)**

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Morgan Stanley is a global financial services firm and a market leader in securities, asset management and credit services. Ticker: MS Exchange: NYSE (See More NYSE Companies) Industry: Investment Brokerage - National (See More Investment Brokerage - National Companies) Sector: Financial (See More Financial

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AnnualReports.com**

Morgan Stanley lowered its position in Consolidated Water Co. Ltd. (NASDAQ:CWCO) by 11.7% in the first quarter, according to the company in its most recent disclosure with the SEC. The firm owned 623,563 shares of the utilities provider's stock after selling 82,671 shares

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during the period.
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